



**TO:** Chief Executive Officers  
Chief Business Officers

**FROM:** Fiscal Services Unit  
Office of Institutional Supports & Success  
College Finance and Facilities Planning Division

**RE:** 2022-23 First Principal and 2021-22 Recalculation Apportionment

This memo describes the 2022-23 First Principal (P1) and 2021-22 Recalculation (R1) apportionment calculations for the Student Centered Funding Formula (SCFF) and various categorical programs. Associated exhibits are available on the Chancellor’s Office [Fiscal Services Unit Apportionment Reports website](#).

### SCFF General Background

The SCFF consists of three principal components – the base allocation, supplemental allocation, and student success allocation with the following parameters:

- The base allocation relies primarily on college and center size based on prior year data and current year Full Time Equivalent Student (FTES) enrollment.
- The supplemental allocation is based on prior year data.
- The student success allocation is based on an average of three prior years of data.

Generally, the Chancellor’s Office certifies apportionments three times per year with the Advance Apportionment (AD) released in July, First Principal (P1) and Recalculation (R1) in February, and Second Principal (P2) in June. Additional certification revisions are completed as necessary.

Allocation	Description	Data
Base (Basic Allocation)	Colleges, State Approved & Grandparented Centers	Prior Year (PY) FTES by college and center
Base (FTES Allocation)	Credit, Incarcerated Credit, Special Admit Credit, CDCP, Noncredit Students	Current Year (CY) FTES 3-year average (PPY, PY, CY) for Credit FTES

Allocation	Description	Data
Supplemental	AB 540 Students, California College Promise Grant Recipients, Pell Grant Recipients	PY headcount
Student Success	Associates Degrees for Transfer, Associates Degrees, Baccalaureate Degrees, Credit Certificates, Transfer Level Math and English, Transfer to a 4-year University, Nine or More CTE Units, Regional Living Wage.  Additional revenue if metrics are achieved by Pell Grant or Promise Grant recipient.	3-year average (PPPY, PPY, PY) metric data

### **SCFF 2022-23 P1**

At 2022-23 P1, SCFF calculations reflect district reported FTES estimates (and includes an optional Title 5 COVID-19 emergency conditions allowance), supplemental and student success metric data reported as of January 17, 2023, county reported property tax, district reported enrollment fees, estimated 2022-23 Education Protection Account (EPA) resources, and available general fund.

A preliminary growth calculation has been included. Consistent with the methodology used in 2021-22, growth will be reassessed at 2022-23 P2 when estimated FTES data is more refined.

Prior year FTES data (or COVID-19 emergency conditions allowance FTES for districts that are opted-in) is used to determine the current year basic allocation. If a district’s reported FTES calculates below the prior year college or center funding size, the prior three FTES data years are used to determine stability in the basic allocation. If a district was opted-in to COVID-19 emergency conditions allowance in prior years, emergency conditions allowance FTES is used to determine stability funding size.

The supplemental and student success allocations at P1 reflect metric data updates provided through the last supplemental and student success data validation cutoff of January 17, 2023. An additional validation period will end March 10, 2023, which will be used to finalize 2021-22 data. This final data set will be used to calculate 2022-23 P2.

The 2022-23 P1 Total Computational Revenue (Max TCR) consists of the highest of the following three TCR calculations for each district: (A) TCR calculated by formula in 2022-23, (B) TCR stability protection (2021-22 calculated TCR plus COLA), or (C) Hold Harmless (2017-18 TCR plus yearly COLAs). At 2022-23 P1, the statewide SCFF Max TCR is \$8.71 billion. SCFF rates at 2022-23 P1

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remain unchanged from 2022-23 AD and will be reassessed at 2022-23 P2 to better align with updated data and revenues.

<b>SCFF Component</b>	<b>2022-23 P1 Amount (Statewide) (In Millions)</b>
FTES Allocation	\$5,416
Basic Allocation	\$924
Supplemental Allocation	\$1,295
Student Success Allocation	\$966
SCFF Calculated Revenue (TCR A)	\$8,600
TCR Stability (TCR B)	\$8,033
Hold Harmless Revenue (TCR C)	\$8,036
2021-22 TCR (Max of A, B, or C)	\$8,715
Stability Protection Adjustment	\$0
Hold Harmless Protection Adjustment	\$115
Property Tax & ERAF	\$4,086
Student Enrollment Fees	\$392
Education Protection Account (EPA)	\$1,560
State General Fund Need	\$3,121
Deficit Factor	0%

<b>2022-23 P1 TCR Status</b>	<b>Number of Districts</b>
SCFF Calculated Revenue (TCR A)	58
TCR Stability (TCR B)	0
Hold Harmless Revenue (TCR C)	14

**2022-23 P1 Exhibits**

- Exhibit A (District Monthly Payments by Program)
- Exhibit B-4 (County Monthly Payment Schedule)
- Exhibit C (Statewide and District SCFF details)
- Educational Revenue Augmentation Fund (ERAF) Memo
- ERAF and Property Tax Distribution by County and District
- Education Protection Account (EPA) Exhibit B-4b (available March 2023)

**SCFF 2021-22 R1**

At 2021-22 R1, SCFF calculations were updated with actual FTES data (including an optional Title 5 COVID-19 emergency conditions allowance), offsetting revenues, including district reported property tax, district reported student enrollment fees, and an updated annual certification of the Education Protection Account (EPA), and other minor adjustments.

Growth was applied to districts that increased in actual reported FTES from 2020-21 R1 to 2021-22 R1. Growth was calculated based on the value of the increase in actual reported FTES and was allowed to exceed districts’ growth authority at an amount not more than 10% of districts’ FTES allocation in the preceding fiscal year, in alignment with Education Code 84750.5.

The 2021-22 R1 Total Computational Revenue (Max TCR) consists of the highest of the following three TCR calculations for each district: (A) TCR calculated by formula in 2021-22, (B) TCR stability protection (2020-21 calculated TCR plus COLA), or (C) Hold Harmless (2017-18 TCR plus yearly COLAs). At 2021-22 R1, the statewide SCFF Max TCR is \$7.86 billion.

<b>SCFF Component</b>	<b>2021-22 R1 Amount (Statewide) (In Millions)</b>
FTES Allocation	\$4,815
Basic Allocation	\$661
Supplemental Allocation	\$1,228
Student Success Allocation	\$834
SCFF Calculated Revenue (TCR A)	\$7,539
TCR Stability (TCR B)	\$7,691
Hold Harmless Revenue (TCR C)	\$7,541
2021-22 TCR (Max of A, B, or C)	\$7,865
Stability Protection Adjustment	\$69

<b>SCFF Component</b>	<b>2021-22 R1 Amount (Statewide) (In Millions)</b>
Hold Harmless Protection Adjustment	\$257
Property Tax & ERAF	\$3,934
Student Enrollment Fees	\$401
Education Protection Account (EPA)	\$1,954
State General Fund Need	\$2,005
Deficit Factor	0%

<b>2021-22 R1 TCR Status</b>	<b>Number of Districts</b>
SCFF Calculated Revenue (TCR A)	6
TCR Stability (TCR B)	38
Hold Harmless Revenue (TCR C)	28

**2021-22 R1 Exhibits**

- Exhibit C (Statewide and District SCFF details)

**SCFF Funding Protections**

There are several funding protections applicable under the SCFF, summarized below.

<b>Protection</b>	<b>Description</b>
Hold Harmless (EDC 84750.4(h))	Districts receive no less than their 2017-18 TCR plus applicable cumulative annual cost of living adjustments through 2024-25.  The 2022 Budget Act extended the Hold Harmless protection in a modified form. Starting in 2025-26, the Hold Harmless provision will no longer reflect cumulative COLAs over time. A district’s 2024-25 TCR will represent its new “floor,” below which it cannot drop.

Protection	Description
Stability Protection (EDC 84750.4(g)(4)(A))	Commencing in 2020-21, declines in the SCFF TCR (excluding the hold harmless) are applicable in the year after the decline and include any applicable COLA. This protection is similar to the former FTES stability protection provided under SB 361, however is based on SCFF calculated revenue TCR.
FTES Restoration Protection (EDC 84750.4(d)(2)(D))	Ability to restore FTES that have declined in the previous 3 years. This protection is converted to a funding amount to provide flexibility.
Basic Allocation Protection (Title 5 § 58776)	Declines in college and center basic allocation tiers are effective 3 years after the initial decline. Increases or new colleges or centers are eligible for funding in the year following the increase or establishment.
Emergency Conditions Allowances (Title 5 § 58146)	Emergency conditions protection from apportionment declines due to a variety of factors including natural disasters and pandemic. Expires 2022-23 fiscal year.

## SCFF Dashboard

Since the adoption of the SCFF, the Chancellor’s Office has collaborated with system partners to develop tools and resources to support SCFF implementation. The [SCFF Dashboard](#) provides analytics and visualizations about the California Community Colleges funding formula. There are three dashboard interfaces:

- Phase 1: Presents an analysis and comparison of the prior funding formula (SB 361) and SCFF.
- Phase 2: Provides analysis and trends in the SCFF supplemental and student success counts, funding protections, and race and ethnicity analyses.
- Phase 3: Provides districts with a planning tool, known as the SCFF Resource Estimator.

Phase 3 of the SCFF Dashboard, the SCFF Resource Estimator, allows users to modify assumptions about levels of general enrollment, low-income student enrollment, and student success, in addition to cost of living adjustments to generate projections of funding levels in future years. The SCFF Resource Estimator is designed to provide five-year estimates.

## Education Protection Account (EPA)

Fiscal year (FY) 2021-22 EPA allocations totaling \$1.95 billion have been adjusted by district based on 2021-22 R1 data. FY 2022-23 EPA allocations totaling \$1.56 billion have been allocated by district based on 2022-23 P1 data. The FY 2021-22 adjustment and FY 2022-23 third quarter EPA exhibits will be posted on the [Fiscal Services Unit Apportionment Reports website](#) in March 2023.

## **2021-22 State General Apportionment**

A FY 2021-22 State General Apportionment adjustment was made in the amount of -\$73.55 million at 2021-22 R1 in February 2023 based on updated data and revenues. Refer to the 2021-22 R1 Exhibit D on our [website](#) for allocations by district.

As a reminder, an early 2021-22 R1 was processed in September 2022 to adjust FY 2021-22 State General Apportionment by -\$105.01 million to offset the FY 2021-22 EPA funding allocation increase at 2021-22 P2.

## **2019-20 State General Apportionment**

Fiscal year 2020-21 State General Apportionment in the amount of \$23.29 million has been reallocated to reduce the 2019-20 R1 SCFF revenue deficit consistent with Senate Bill 154, the 2022 Budget Act. Refer to the 2019-20 R1 February 2023 Revision Exhibit D on our [website](#) for allocations by district.

## **Categorical Programs**

Forty-six categorical programs certified their district allocations at 2022-23 P1 totaling over \$5 billion. The following exhibits pertaining to 2022-23 P1 categorical program allocations can be found on our [website](#): Exhibit A (District Monthly Payments by program), Exhibit A (Apprenticeship Training and Instruction, Local Education Agencies), Exhibit A/B-4 (Statewide Community College).

Allocation adjustments to 2021-22 categorical programs totaling \$7.21 million were certified at 2021-22 R1. Details pertaining to these adjustments can be viewed on the 2021-22 R1 Exhibit D on our [website](#).

Additionally, the following recalculation revisions to categorical programs were made in February 2023. The exhibits pertaining to these adjustments can also be found on our [website](#):

- 2018-19 Adjustment (refer to 2018-19 R1 February 2023 Revision Exhibit D):
  - Student Financial Aid Administration: \$122,593
- 2019-20 Adjustment (refer to 2019-20 R1 February 2023 Revision Exhibit D):
  - Student Financial Aid Administration: \$144,583
- 2020-21 Adjustment (refer to 2020-21 R1 February 2023 Revision Exhibit D)
  - Student Financial Aid Administration: \$347,936
  - COVID-19 Response Block Grant (Prop 98): -\$3,998

Additional information regarding categorical programs can be found in the Compendium of Allocations and Resources (the Compendium) on the [Budget News](#) web page.

## **Contacts**

For questions regarding the SCFF please email [scff@cccco.edu](mailto:scff@cccco.edu).

For general questions regarding apportionment payments or this memo please email [apportionments@cccco.edu](mailto:apportionments@cccco.edu).

For questions regarding specific categorical programs, please contact the appropriate staff specified in Appendix A: Summary of Categorical Program Accounting of the Compendium on the [Budget News](#) web page.



**California Community Colleges**

**2022-23 First Principal**

**Southwestern CCD**

**Exhibit C - Page 1**

**Total Computational Revenue and Revenue Sources**

<b>Total Computational Revenue (TCR)</b>		
I. Base Allocation (FTES + Basic Allocation)		\$ 87,024,145
II. Supplemental Allocation		20,493,269
III. Student Success Allocation		12,148,939
	Student Centered Funding Formula (SCFF) Calculated Revenue (A)	\$ 119,666,353
	2021-22 SCFF Calculated Revenue + COLA (B)	111,028,962
	Hold Harmless Revenue (C)	109,973,693
	Stability Protection Adjustment	-
	Hold Harmless Protection Adjustment	-
	<b>2022-23 TCR (Max of A, B, or C)</b>	<b>\$ 119,666,353</b>
<b>Revenue Sources</b>		
Property Tax & ERAF		\$ 37,088,014
Less Property Tax Excess		-
Student Enrollment Fees		4,977,858
Education Protection Account (EPA)	Minimum of at least \$100 x Funded FTES	23,629,865
State General Fund Allocation	Funded FTES: 15,008.59 x Rate: \$1,574.42	53,970,616
<b>State General Fund Allocation</b>		
General Fund Allocation	\$ 53,018,786	
Full-Time Faculty Hiring (FTFH) Allocation (2015-16 Funds Only)	951,830	
	<b>Subtotal State General Fund Allocation</b>	<b>\$53,970,616</b>
Adjustment(s)	-	
	<b>Total State General Fund Allocation (Exhibit A)</b>	<b>\$53,970,616</b>
	<b>Available Revenue</b>	<b>\$ 119,666,353</b>
	<b>2022-23 TCR (Max of A, B, or C)</b>	<b>119,666,353</b>
	Revenue Deficit Percentage	0.0000%
	Revenue Deficit	\$ -

**Supporting Sections**

**Section Ia: FTES Data and Calculations**

variable	a	b	c	d	e	f = b + c + d + e	g = f (except credit = (a + b + f)/3)	h	i = g + h
FTES Category	2020-21 Applied #3	2021-22 Applied #3	2022-23 Restoration	2022-23 Decline	2022-23 Adjustment	2022-23 Applied #1	2022-23 Applied #2	2022-23 Growth	2022-23 Funded
Credit	14,688.02	14,688.02	-	-	-	14,688.02	14,688.02	-	14,688.02
Incarcerated Credit	30.98	30.98	-	-	-	30.98	30.98	-	30.98
Special Admit Credit	189.50	189.50	-	-	-	189.50	189.50	-	189.50
CDCP	50.82	50.82	-	-	-	50.82	50.82	-	50.82
Noncredit	49.27	49.27	-	-	-	49.27	49.27	-	49.27
<b>Total FTES=&gt;&gt;&gt;</b>	<b>15,008.59</b>	<b>15,008.59</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>15,008.59</b>	<b>15,008.59</b>	<b>-</b>	<b>15,008.59</b>
<b>Total Values=&gt;&gt;&gt;</b>		<b>\$73,139,824</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>				
Change from PY to CY=>>>		<b>\$0</b>							

variable	j = g x l 2022-23 Applied #2 Revenue	k = h x l 2022-23 Growth Revenue	l 2022-23 P1 Rate \$*	m = j + k 2022-23 Total Revenue
Credit	\$71,097,141	\$ -	\$4,840.49	\$71,097,141
Incarcerated Credit	210,291	-	\$6,787.96	210,291
Special Admit Credit	1,286,318	-	\$6,787.96	1,286,318
CDCP	344,964	-	\$6,787.96	344,964
Noncredit	201,110	-	\$4,081.79	201,110
<b>Total</b>	<b>\$73,139,824</b>	<b>\$0</b>		<b>\$73,139,824</b>

n 2022-23 Applied #0	o = f + h 2022-23 Applied #3	p = n - o 2022-23 Unfunded FTES	q = p x l 2022-23 Unfunded FTES Value
14,688.02	14,688.02	-	\$ -
30.98	30.98	-	-
189.50	189.50	-	-
50.82	50.82	-	-
49.27	49.27	-	-
<b>15,008.59</b>	<b>15,008.59</b>	<b>-</b>	<b>\$ -</b>

Total Value=>>> \$73,139,824

**Section Ib: 2022-23 FTES Modifications**

variable	r Applied #0 19-20 FTES	s Reported 320 2022-23 P1 FTES	t Emergency Conditions Allowance (ECA) COVID-19	u Other	n = s + t + u 2022-23 Applied #0	Definitions:
Credit	14,688.02	13,063.01	1,625.01	-	14,688.02	<b>PY App#3:</b> PY App#1 plus PY Growth, is the base for CY
Incarcerated Credit	30.98	-	30.98	-	30.98	<b>CY App#0:</b> Reported R1 FTES with COVID-19 and other ECA and statutory protections. These FTES are used in the calculations of the CY funded FTES.
Special Admit Credit	189.50	-	189.50	-	189.50	<b>CY App#1:</b> Base for CY plus any restoration, decline or adjustment
CDCP	50.82	33.74	17.08	-	50.82	<b>CY App#2:</b> FTES that will be funded not including growth
Noncredit	49.27	168.34	(119.07)	-	49.27	<b>CY App#3:</b> CY App#1 plus Growth and used as the base for the following year
<b>Total</b>	<b>15,008.59</b>	<b>13,265.09</b>	<b>1,743.50</b>	<b>-</b>	<b>15,008.59</b>	<b>CY Adjustment:</b> Alignment of FTES to available resources.
						<b>Change Prior Year to Current Year:</b> CY App#0 value minus PY App#3 value and is the sum of CY restoration, decline, growth and unapplied values

Section Ic: FTES Restoration Authority				
variable	v	w	y	z = (v + w + y) x l
FTES Category	2019-20	2020-21	2021-22	Total \$
Credit	-	-	-	\$ -
Incarcerated Credit	-	-	-	-
Special Admit Credit	-	-	-	-
CDCP	-	-	-	-
Noncredit	-	-	-	-
<b>Total</b>	-	-	-	\$ -

Section Id: FTES Growth Authority			
variable	aa	ab	ac = aa x ab
FTES Category	% target	2021-22 Applied #3 FTES	2022-23 Growth FTES
Credit	0.37%	14,688.02	55.04
Incarcerated Credit	0.37%	30.98	0.12
Special Admit Credit	0.37%	189.50	0.71
CDCP	0.37%	50.82	0.19
Noncredit	0.37%	49.27	0.18
<b>Total</b>		15,008.59	56.24
<b>Total Growth FTES Value =&gt;&gt;&gt; \$</b>			274,080

**Section Ie: Basic Allocation**

District Type/FTES	Funding Rate	Number of Colleges	Basic Allocation	FTES	Funding Rate	Number of Centers	Basic Allocation	
<u>Single College Districts</u>				<u>State Approved Centers</u>				
≥ 20,000	9,917,373.09	-	\$0	≥ 1,000	\$1,983,474.31	3	\$5,950,422	
≥ 10,000 & < 20,000	7,933,898.79	1	7,933,899	<u>Grandparented Centers</u>				
< 10,000	5,950,421.36	-	-	≥ 1,000	1,983,474.31	-	-	
<u>Multi-College Districts</u>				≥ 750 & < 1,000	1,487,605.34	-	-	
≥ 20,000	7,933,898.79	-	-	≥ 500 & < 750	991,736.37	-	-	
≥ 10,000 & < 20,000	6,942,160.85	-	-	≥ 250 & < 500	495,868.97	-	-	
< 10,000	5,950,421.36	-	-	≥ 100 & < 250	247,936.04	-	-	
<u>Additional Rural \$</u>	1,892,600.56	-	-	<b>Subtotal</b>				
			<b>Subtotal</b>				\$7,933,899	\$5,950,422
							Total Basic Allocation	\$13,884,321
							Total FTES Allocation	73,139,824
							<b>Total Base Allocation</b>	<b>\$87,024,145</b>

**Section II: Supplemental Allocation**

Supplemental Allocation - Point Value \$1144.62	Points	2021-22 Headcount	Rate	Revenue
AB540 Students	1	634	\$1,144.62	\$725,689
Pell Grant Recipients	1	6,492	1,144.62	7,430,870
Promise Grant Recipients	1	10,778	1,144.62	12,336,710
		<b>Totals</b>		<b>\$20,493,269</b>

**Section III: Student Success Allocation**

All Students - Point Value \$674.94	Points	2019-20 Headcount	2020-21 Headcount	2021-22 Headcount	Three Year Average	Rate = Point Value x Points	Revenue
Associate Degrees for Transfer	4	845	968	874	895.67	\$ 2,699.76	\$2,418,082
Associate Degrees	3	726	740	739	735.00	2,024.82	1,488,241
Baccalaureate Degrees	3	0	0	0	0.00	2,024.82	0
Credit Certificates	2	163	137	160	153.33	1,349.88	206,981
Transfer Level Math and English	2	609	550	593	584.00	1,349.88	788,329
Transfer to a Four Year University	1.5	866	904	913	894.33	1,012.41	905,431
Nine or More CTE Units	1	2,418	2,367	2,143	2,309.33	674.94	1,558,660
Regional Living Wage	1	2,096	1,752	2,055	1,967.67	674.94	1,328,055
<b>All Students Subtotal</b>		<b>7,723</b>	<b>7,418</b>	<b>7,477</b>	<b>7,539.33</b>		<b>\$8,693,779</b>
<b>Pell Grant Recipients - Point Value \$170.24</b>							
Associate Degrees for Transfer	6	535	607	557	566.33	\$ 1,021.46	\$578,489
Associate Degrees	4.5	446	452	462	453.33	766.10	347,298
Baccalaureate Degrees	4.5	0	0	0	0.00	766.10	0
Credit Certificates	3	89	78	82	83.00	510.73	42,391
Transfer Level Math and English	3	306	246	273	275.00	510.73	140,451
Transfer to a Four Year University	2.25	461	512	501	491.33	383.05	188,205
Nine or More CTE Units	1.5	1,446	1,377	1,211	1,344.67	255.37	343,382
Regional Living Wage	1.5	661	587	832	693.33	255.37	177,054
<b>Pell Grant Recipients Subtotal</b>		<b>3,944</b>	<b>3,859</b>	<b>3,918</b>	<b>3,907.00</b>		<b>\$1,817,270</b>
<b>Promise Grant Recipients - Point Value \$170.24</b>							
Associate Degrees for Transfer	4	667	793	707	722.33	\$ 680.98	\$491,892
Associate Degrees	3	585	608	599	597.33	510.73	305,077
Baccalaureate Degrees	3	0	0	0	0.00	510.73	0
Credit Certificates	2	125	115	118	119.33	340.49	40,632
Transfer Level Math and English	2	420	352	333	368.33	340.49	125,413
Transfer to a Four Year University	1.5	623	672	690	661.67	255.37	168,967
Nine or More CTE Units	1	1,900	1,866	1,584	1,783.33	170.24	303,602
Regional Living Wage	1	1,210	994	1,361	1,188.33	170.24	202,307
<b>Promise Grant Recipients Subtotal</b>		<b>5,530</b>	<b>5,400</b>	<b>5,392</b>	<b>5,440.67</b>		<b>\$1,637,890</b>
<b>Total Headcounts</b>		<b>17,197</b>	<b>16,677</b>	<b>16,787</b>	<b>16,887.00</b>		<b>\$12,148,939</b>
<b>Total Student Success Allocation</b>							<b>\$12,148,939</b>